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United States Patent and

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

www.uspto.gov

10/045,520

10/26/2001

Jeremy Stein

ATTORNEY DOCKET NUMBER AP33681--070939.0365

21003 **BAKER & BOTTS** 30 ROCKEFELLER PLAZA NEW YORK, NY 10112

CONFIRMATION NO. 9931 FORMALITIES LETTER *OC000000007468101*

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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m 2		Application Number	10/045,520
TR/	ANSMITTAL	Filing Date	October 26, 2001
RYDELY	FORM	First Named Inventor	Jeremy Stein
	all correspondence after initial filing)	Group Art Unit	2123
**		Examiner Name	
Total Number	of Pages in This Submission 13	Attorney Docket Number	AP33681-073103.0102
	ENCI	LOSURES (check a	all that apply)
Fee Transmittal For Fee Attache Amendment / Repl After Final Affidavits/de	y (for an Drawin Drawin Petition Provision Provision Drawin Drawi	ing-related Papers	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Nation, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):
	nent Request Request CD, No riority Remarks	al Disclaimer st for Refund umber of CD(s)	
		ICANT, ATTORNEY, OR A	GENT
Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112		
Signature	1011		Robert C. Scheinfeld 31,300
Date .	May 9, 2002		
	CERTIFIC	ATE OF MAILING	
I hereby certify that this cor	respondence is being deposited with the		e with sufficient postage as first class

BAKER BOTTS LLP

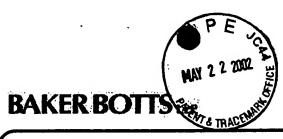
Attorney Docket Number: AP33681-073103.0102

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SYSTEM AND METHOD FOR ESTIMATING CASH FLOW AT RISK FOR A NON-FINANCIAL

INSTITUTION

Use Space Below for Additional Information:



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)	240
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Complete if Known		
Application Number	10/045,520	
Filing Date	October 26, 2001	
First Named Inventor	Jeremy Stein	
Examiner Name		
Group Art Unit	2123	
Attorney Docket No.	AP33681073103.0102	

1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Description (6) (5) Fee Paid 740 370 Utility filing fee 330 165 Design filing fee 510 255 Plant filing fee 740 370 Reissue filing fee 160 80 Provisional filing fee 280 140 Extension for reply within shrinth month 320 160 Notice of Appeal 280 140 Request for oral hearing 1,510 1,510 Pelition to institute a public use proceeding	
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CURTOTAL (4) (C) O	7
110 55 Petition to revive - unavoidable	
2. EXTRA CLAIM FEES 1,280 640 Petition to revive - unintentional	
Fee from Extra Claims below Fee Paid 1,280 640 Utility issue fee (or reissue)	
Total Claims 20 •• = 0	
Independent - 3 ** = 0 X = 0 620 310 Plant Issue fee	_
Multiple Dependent = 130 130 Petitions to the Commissioner	_
- 50 50 Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Description 180 180 Submission of information Disclosure Stmt	
(\$) (\$) 40 Recording each patent assignment per	
18 9 Claims in excess of 20 property (times number of properties)	
84 42 Independent claims in excess of 3 740 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
280 140 Multiple dependent claim, if not paid 740 370 For each additional invention to be	7
84 42 ** Reissue independent claims examined (37 CFR § 1.129(b)) over original patent	\dashv
18 9 ** Reissue claims in excess of 20 740 370 Request for Continued Examination (RCE)	4
and over original patent 900 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0 Other fee (specify)	
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 240	

SUBMITTED BY				Complete (li	f applicable)
Name (PrintiType)	Robert C. Scheinteld	Registration No. (Attorney/Agent)	31,300	Telephone	212-408-2512
Signature				Date	May 9, 2002